

# Pattern Avoidance in Ordered Set Partitions

Adam M. Goyt

MSU Moorhead

goytadam@mnstate.edu

Joint with Anant P. Godbole, Jennifer Herdan, and Lara K. Pudwell

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1 Introduction

2 Some Early Results

3  $\text{op}_{n,[b_1,b_2,\dots,b_k]}(123) = \text{op}_{n,[b_1,b_2,\dots,b_k]}(132)$

4 Ordered Partitions and Words

# Outline

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## 4 Ordered Partitions and Words

# Set Partitions

## Definition

Let  $[n] = \{1, 2, \dots, n\}$  a *partition*,  $\pi$ , of  $[n]$ ,  $\pi \vdash [n]$  is a family of sets  $B_1, B_2, \dots, B_k$ , called *blocks*, such that  $B_1 \uplus B_2 \uplus \dots \uplus B_k = [n]$ . We write

$$\pi = B_1/B_2/\dots/B_k,$$

where  $\min B_1 < \min B_2 < \dots < \min B_k$ .

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## Example

$$\pi = 13/247/56 \vdash [7].$$

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Let  $\mathcal{OP}_{n,k}$  be the set of ordered partitions of  $[n]$  with  $k$  blocks, and  $\mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}$  be the set of ordered partitions of  $[n]$  with  $k$  blocks and  $|B_i| = b_i$ .

# Example of an Ordered Partition

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Notice that the set of permutations of  $[n]$ ,  $\mathcal{S}_n$ , is in bijection with  $\mathcal{OP}_{n,n} = \mathcal{OP}_{n,[1,1,\dots,1]}$ .

# What we came to discuss

## Definition

An ordered partition  $\sigma = B_1/B_2/\dots/B_k \in \mathcal{OP}_{n,k}$  is said to **contain a copy of a permutation**  $p = p_1p_2 \cdots p_m$  if there is a sequence of elements  $a_{i_1}a_{i_2} \cdots a_{i_m}$  with  $a_{i_j} \in B_{i_j}$  for  $1 \leq j \leq m$  with  $i_1 < i_2 < \cdots < i_m$  such that  $a_{i_1}a_{i_2} \cdots a_{i_m}$  is order isomorphic to  $p$ .

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For example let  $\pi = 56/247/13$ . 523 forms a copy of 312, and 573 forms a copy of 231.

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## Example

For example let  $\pi = 56/247/13$ . 523 forms a copy of 312, and 573 forms a copy of 231. However,  $\pi$  avoids the permutations 123 and 132.

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$\mathcal{OP}_{n,k}(p)$ 

## Definition

Let  $\mathcal{OP}_{n,k}(p)$  be the set of partitions in  $\mathcal{OP}_{n,k}$  avoiding the permutation  $p$ , and  $op_{n,k}(p) = |\mathcal{OP}_{n,k}(p)|$ .



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## Theorem

For  $n \geq 1$ ,

$$op_{n,k}(12) = \binom{n-1}{k-1}.$$

# Avoiding a Pattern of Length 3

## Theorem

For  $n \geq 1$  and  $p \in \mathcal{S}_3$ ,

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### Conjecture

For  $n \geq 1$ ,  $n$  even, and  $p \in \mathcal{S}_3$ , we have that

$$op_{n,[2,2,\dots,2]} \sim \sqrt{12}^n.$$

# Partitions with $n - 1$ blocks

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Theorem

$$\begin{aligned} \widehat{\text{op}}_{n,n-1} &= \sum_{k=0}^n C_k + \sum_{a=2}^{n-1} \sum_{k=0}^{n-a-2} C_k \sum_{i=1}^{a-1} r(a-1, i) \binom{i+n-k-a-1}{i} \\ &+ \sum_{a=2}^{n-1} C_{n-a-1} C_{a-1} + \sum_{a=2}^{n-1} \sum_{k=n-a}^{n-2} C_{n-a-1} C_{k-n+a+1} C_{n-k-2} \\ &+ 2 \sum_{j=1}^n C_{j-1} \widehat{\text{op}}_{n,n-j}. \end{aligned}$$

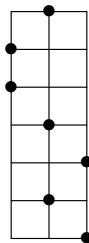


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# Graphs of Ordered Partitions

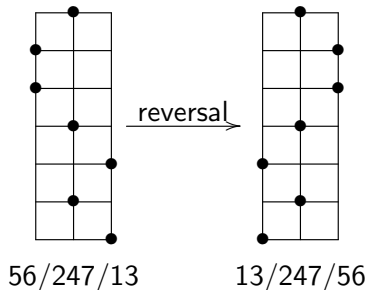
A graph of the partition 56/247/13.



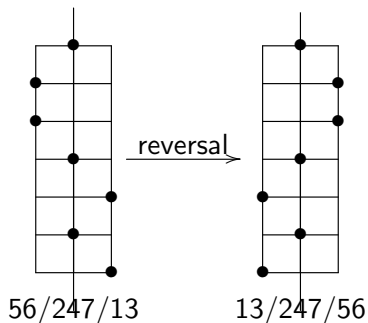
56/247/13

The graph of an ordered partition is like that of a permutation, we simply allow more than one entry in each column.

# Graphs of Ordered Partitions and Symmetries

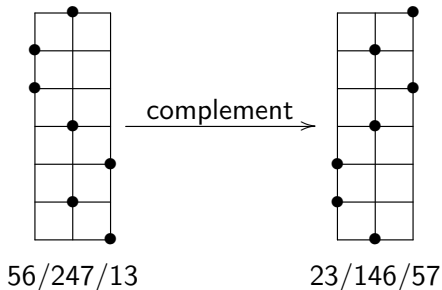


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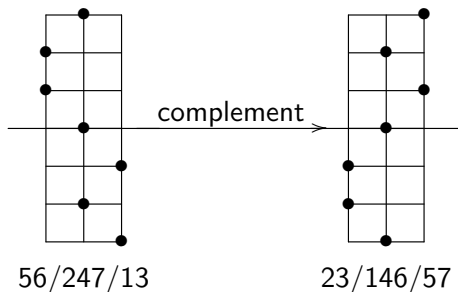


Of course the **reversal** map is just a reflection over the vertical axis that divides the partition graph in half.

# Graphs of Ordered Partitions and Symmetries



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Of course the **complement** map is just a reflection over the horizontal axis that divides the partition graph in half.

# Graphs of Ordered Partitions and Symmetries

## Definition

Let  $\pi \in \mathcal{OP}_{n,k}$ , then we write  $\pi^r$  for the reversal of  $\pi$  and  $\pi^c$  for the complement of  $\pi$ .

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## Theorem

The ordered partition  $\pi \in \mathcal{OP}_{n,k}$  avoids permutation  $p \in \mathcal{S}_m$  iff  $\pi^r$  avoids  $p^r$  and  $\pi$  avoids  $p$  iff  $\pi^c$  avoids  $p^c$ .  $\square$



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This gives us that  $\text{op}_{n,k}(123) = \text{op}_{n,k}(321)$  and  $\text{op}_{n,k}(132) = \text{op}_{n,k}(231) = \text{op}_{n,k}(213) = \text{op}_{n,k}(312)$ , as with permutations.

$$op_{n,[b_1,b_2,\dots,b_k]}(123) = op_{n,[b_1,b_2,\dots,b_k]}(132)$$

## Theorem

For  $n \geq 1$  and any  $b_1, b_2, \dots, b_k$  with  $\sum b_i = n$  we have,

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The proof is an adaptation of the bijective proof of this very same fact for permutations given by Simion and Schmidt. To do this we need to define what a left-to-right minimum is in an ordered partition.

# Left-To-Right Minima

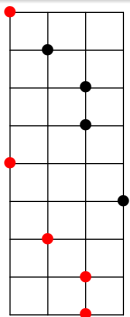
## Definition

Let  $\pi = B_1/B_2/\dots/B_k \in \mathcal{OP}_{n,k}$ . The element  $a \in B_i$  is a *left-to-right minimum* of  $\pi$  if  $a \leq b$  for any  $b \in B_j$  with  $j < i$ .

$$\text{op}_{n,[b_1,b_2,\dots,b_k]}(123) = \text{op}_{n,[b_1,b_2,\dots,b_k]}(132)$$

## Example

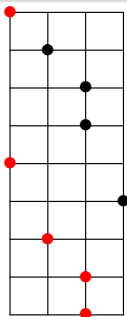
Consider the ordered partition  $59/38/1267/4$ . The left-to-right minima are 1, 2, 3, 5, 9.



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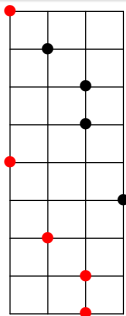
We observe that

- Every element in the first block is a left to right minimum.

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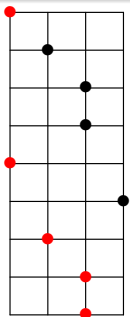
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- The sequence of left to right minima is “decreasing”.

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- Every element in the first block is a left to right minimum.
- The sequence of left to right minima is “decreasing”.
- If  $\pi \in \mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}(123)$  then the remaining elements form a decreasing sequence.



## The Bijection (a la Simion and Schmidt)

Let  $\phi : \mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}(123) \rightarrow \mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}(132)$  by

- Fix all left-to-right minima in their blocks, and remove the remaining entries from the partition.

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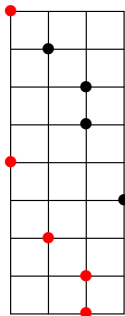
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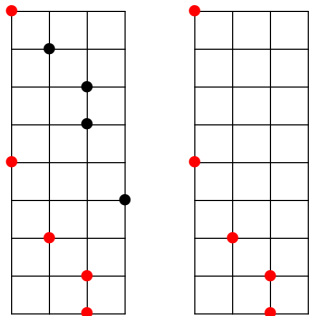
- Fix all left-to-right minima in their blocks, and remove the remaining entries from the partition.
- Replace the elements in the blocks from left to right by placing the smallest remaining element that is larger than the preceding left-to-right minimum.
- The new partition will be 132 avoiding.

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59/38/1267/4

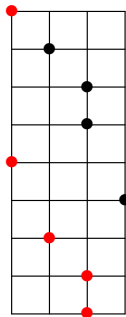
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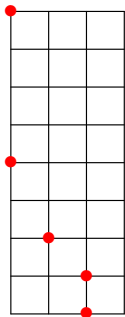
59/38/1267/4

59/3/12/

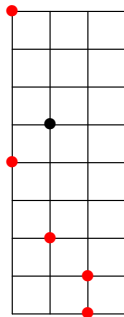
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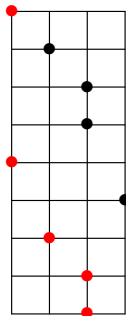


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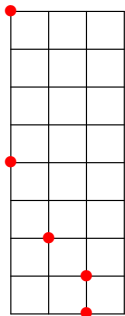


59/36/12 /

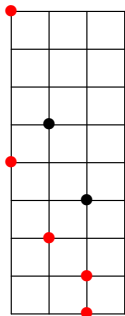
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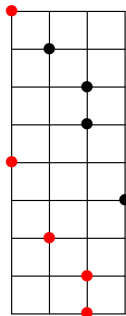


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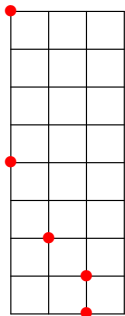


59/36/124 /

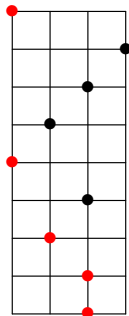
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59/38/1267/4



59/3/12/



59/36/1247/8



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### Theorem

$\phi : \mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}(123) \rightarrow \mathcal{OP}_{n,[b_1,b_2,\dots,b_k]}(132)$  is a bijection.

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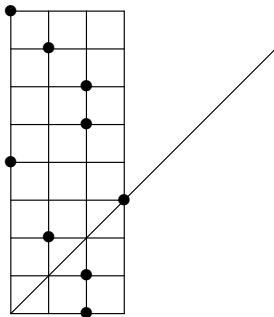
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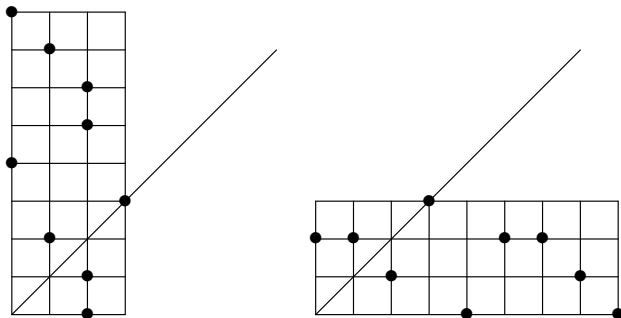
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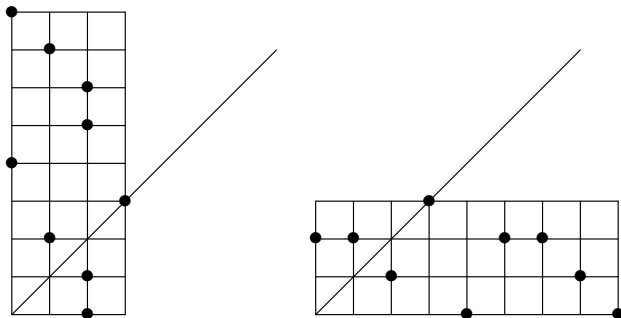
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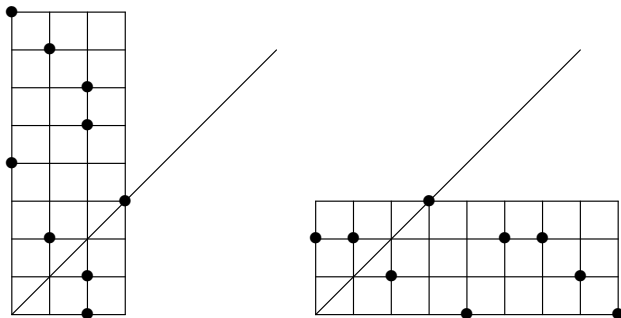
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But it is a Word!

# Words

## Definition

Let  $[k]^n$  be the set of words of length  $n$  with letters from the alphabet  $[k]$ .



# Words

## Definition

Let  $[k]^n$  be the set of words of length  $n$  with letters from the alphabet  $[k]$ . We say a word  $w = w_1 w_2 \cdots w_n \in [k]^n$  **contains a copy** of the permutation  $p = p_1 p_2 \cdots p_m \in S_m$  if there is a subword  $w_{i_1} w_{i_2} \cdots w_{i_m}$  of  $w$  that is order isomorphic to  $p$ . Otherwise we say  $w$  **avoids**  $p$ .

# Words

## Definition

Let  $[k]^n$  be the set of words of length  $n$  with letters from the alphabet  $[k]$ . We say a word  $w = w_1 w_2 \cdots w_n \in [k]^n$  **contains a copy** of the permutation  $p = p_1 p_2 \cdots p_m \in S_m$  if there is a subword  $w_{i_1} w_{i_2} \cdots w_{i_m}$  of  $w$  that is order isomorphic to  $p$ . Otherwise we say  $w$  **avoids**  $p$ . Let  $p$  be a permutation and  $[k]^n(p)$  be the set of words avoiding  $p$ .

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## Example

The word  $443624432 \in [6]^9$  avoids 123.

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Theorem (Burstein, Bränden-Mansour, Jelínek-Mansour)

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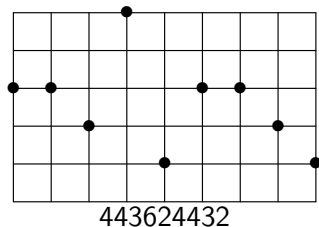
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- Notice that  $123^i = 123$  and  $132^i = 132$ , so if  $\pi$  avoids 123 then so does  $\pi^i$ .
- The map  $i \circ \phi \circ i : [k]^n(123) \rightarrow [k]^n(132)$  is a bijection.

# An Example

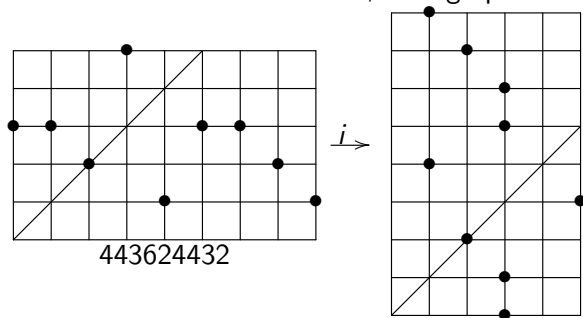
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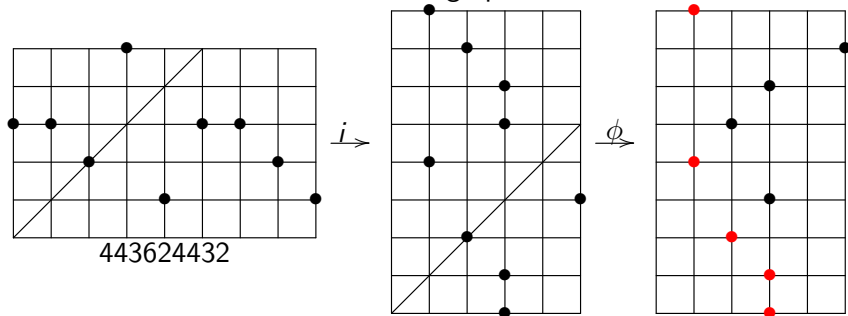
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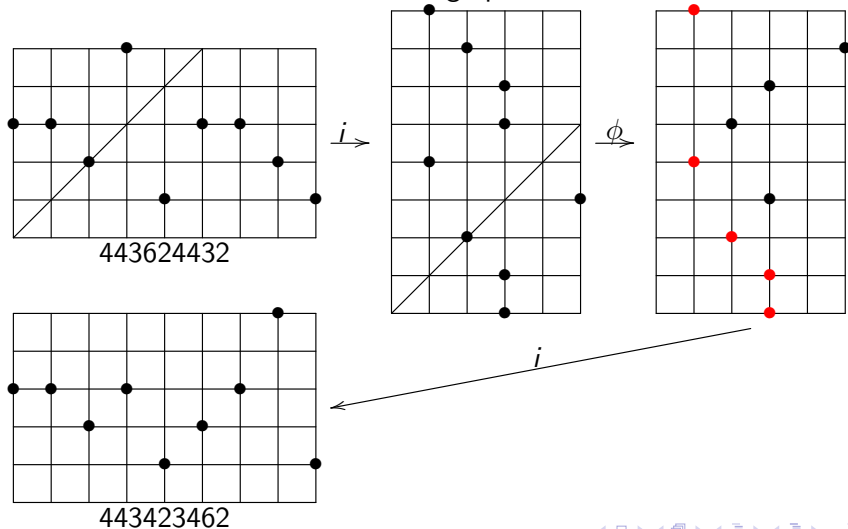
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- Packing?
- So many problems to solve, it's like Christmas!

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