Packing Set Partitions: A New Hope

Adam M. Goyt (Joint with Lara K. Pudwell).

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Outline



2 Previous Results



Death Star ($\delta(121) = \frac{1}{4}$) Destruction

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- Wilf suggests people consider packing instead of avoidance for permutations.
- Layered pattern density is determined for particular layered permutations. Work of Price [3] and Albert, Atkinson, Handley, Holton, and Stromquist [1].
- Burstein, Hästö, and Mansour [2] determine packing densities for words.
- Eight years ago in Iceland I am encouraged to consider packing for set partitions by Walter Stromquist.

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Walt Stromquist



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Adam Before Electrolysis



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Definition

A partition π of a set S, written $\pi \vdash S$, is a family of disjoint nonempty subsets $B_i \subseteq S$, called blocks, such that $\uplus B_i = S$.

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$$\pi = B_1/B_2/\ldots/B_k,$$

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Example 137/25/46 ⊢ [7]

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Let

 $\Pi_n = \{\pi : \pi \vdash [n] = \{1, 2, \dots, n\}\}, \text{ and } \Pi_{n,k} = \{\pi \in \Pi_n : \pi \text{ has } k \text{ blocks.}\}.$

Canonical Words

To each set partition is associated a canonical word $a_1 a_2 \dots a_n$ where $a_i = j$ if $i \in B_j$.

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Example 137/25/46 *corresponds to* 1213231.

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Given any word $w \in [k]^n$ we may canonize w by replacing all occurrences of the first letter of w by 1, all occurrences of the next occurring letter by 2, etc.

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From now on we will refer to these canonical words as partitions.

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Order Isomorphism

Definition

We say that two words $\alpha = \alpha_1 \alpha_2 \dots \alpha_n$ and $\beta = \beta_1 \beta_2 \dots \beta_n$ are order isomorphic if for i < j

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$$\alpha_i < \alpha_j$$
 if and only if $\beta_i < \beta_j$, and

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The words 12332 and 34774 are order isomorphic.

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Example

The words 12332 and 51771 are not order isomorphic.

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Definition

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• Non-Restricted:

there is a subsequence of σ of length k whose canonization is π .

2 Restricted:

there is a subsequence of σ of length k that is order isomorphic to

 π .

Example

Let σ = 1213231 *and* π = 121.

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Let σ = 1213231 *and* π = 121.

Then 212, as in 1213231 is a copy of 121 in the non-restricted sense, but not in the restricted sense.

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Then 212, as in 1213231 is a copy of 121 in the non-restricted sense, but not in the restricted sense.

And 131, as in 1213231, is a copy of 121 in both the restricted and non-restricted sense.

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Example

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Then 212, as in 1213231 is a copy of 121 in the non-restricted sense, but not in the restricted sense.

And 131, as in 1213231, is a copy of 121 in both the restricted and non-restricted sense.

The non-restricted pattern containment definition allows for the blocks of the copy to be in a different order than the blocks in the pattern. The restricted definition does not.

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$$d(E, \sigma) = \frac{\nu(E, \sigma)}{\binom{n}{m}}$$

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$$d(E, k, n) = \frac{\mu(E, k, n)}{\binom{n}{m}}$$

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Density

Theorem

For $n \ge m$ we have that $d(E, k, n-1) \ge d(E, k, n)$ and $d(E, k, n) \ge d(E, k-1, n)$. \Box

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Density

Theorem

For $n \ge m$ we have that $d(E, k, n-1) \ge d(E, k, n)$ and $d(E, k, n) \ge d(E, k-1, n)$. \Box

Definition

Let the packing density of the set $E \subseteq \Pi_m$ be

$$\delta(E) = \lim_{n \to \infty} d(E, n, n) = \lim_{n \to \infty} \lim_{k \to \infty} d(E, k, n).$$

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Outline







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Packing Set Partitions: A New Hope

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Previous Results

These are the results that I presented in Florence 2009.

| Partition π | 111 | 112 | 123 | 121 |
|-------------------------|-----|-----------------|-----|-------------------------|
| Restricted Packing | | | | |
| Density $\delta_r(\pi)$ | 1 | $2\sqrt{3} - 3$ | 1 | $\frac{2\sqrt{3}-3}{2}$ |
| Unrestricted Packing | | | | |
| Density $\delta(\pi)$ | 1 | $2\sqrt{3} - 3$ | 1 | $\frac{1}{4}$ |

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Death Star ($\delta(121) = \frac{1}{4}$) Destruction

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Death Star ($\delta(121) = \frac{1}{4}$) Destruction

1212 · · · 12 is a maximizer

Goal: Show that 121212...12 is a maximizer for 121.

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Packing 121 into 2 block Partitions

Theorem

The number of copies of 121 in a partition among those with two blocks is maximized by the partition $\underbrace{R2D2\cdots R2D2}_{n}$

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Packing 121 into 2 block Partitions

Theorem

The number of copies of 121 in a partition among those with two blocks is maximized by the partition $\underbrace{1212\cdots 121}_{n}$

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Packing 121 into 2 block Partitions

Theorem

The number of copies of 121 in a partition among those with two blocks is maximized by the partition $\underbrace{1212\cdots 121}_{}$

n

We prove this by proving a series of Lemmas.

Lemma

Let $\pi \in \prod_{n,2}$ have exactly two blocks. Assume that π consists of *i* 1's and *j* 2's with $i \ge j$. Then the partition

$$\hat{\pi} = \underbrace{11\cdots 1}_{\lceil (i-j-1)/2 \rceil} \underbrace{1212\cdots 121}_{2j+1} \underbrace{11\cdots 1}_{\lceil (i-j-1)/2 \rceil}$$

satisfies $\nu(121, \hat{\pi}) \ge \nu(121, \pi)$.

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• Suppose that inside of the partition we have a string of length $\ell+2$ of the form

$$2\underbrace{11\cdots 1}_{\ell}2$$

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• Suppose that inside of the partition we have a string of length $\ell+2$ of the form

$$(a \ 1's \text{ and } b \ 2's) \quad 2\underbrace{11\cdots 1}_{\ell} 2 \quad (c \ 1's \text{ and } d \ 2's).$$

• Suppose that there are *a* 1's and *b* 2's preceding the initial 2 and *c* 1's and *d* 2's succeeding the final 2.

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- Suppose that there are *a* 1's and *b* 2's preceding the initial 2 and *c* 1's and *d* 2's succeeding the final 2.
- Swapping the intitial 2 with the first 1 in the run gives us a change of (b + c + ℓ − 1) − (a + d + 1) copies.

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- Swapping the intitial 2 with the first 1 in the run gives us a change of (b + c + ℓ − 1) − (a + d + 1) copies.
- Swapping the final 2 with the final 1 in the run gives a change of $(a + d + \ell 1) (b + c + 1)$ copies.

• Suppose that inside of the partition we have a string of length $\ell+2$ of the form

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- So we can perform at least one of these without losing copies of 121.

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- Swapping the final 2 with the final 1 in the run gives a change of $(a + d + \ell 1) (b + c + 1)$ copies.
- So we can perform at least one of these without losing copies of 121.
- Repeating this process eventually gives us a middle section of alternating 1's and 2's.

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• Start with a partition $\pi \in \Pi_{n,2}$.

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- Start with a partition $\pi \in \Pi_{n,2}$.
- We can rearrange it so that it has the structure described in the Lemma. $\underbrace{11\cdots 1}_{a} \underbrace{1212\cdots 121}_{2j+1} \underbrace{11\cdots 1}_{a \text{ or } a-1}$

- Start with a partition $\pi \in \Pi_{n,2}$.
- We can rearrange it so that it has the structure described in the Lemma. $\underbrace{11\cdots 1}_{a} \underbrace{1212\cdots 121}_{2j+1} \underbrace{11\cdots 1}_{a \text{ or } a-1}$
- Changing the last 1 in the run of *a* 1's at the beginning and the first 1 in the run of *a* 1's at the end, we lose $2ja j + 2\binom{j+1}{2}$ copies of 121 and gain $2(a-1)(j+a) + \binom{j+1}{2} + \binom{j+2}{2}$ copies of 121.

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- Changing the last 1 in the run of *a* 1's at the beginning and the first 1 in the run of *a* 1's at the end, we lose $2ja j + 2\binom{j+1}{2}$ copies of 121 and gain $2(a-1)(j+a) + \binom{j+1}{2} + \binom{j+2}{2}$ copies of 121.
- This is a net gain of $a^2 + (a-1)^2$ copies of 121.
- The case where there are a 1 1's at the end gives a net gain of $2(a 1)^2$ copies of 121.
- Thus the partition $1212 \cdots 121$ is a maximizer in $\Pi_{n,2}$

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• We observe that $\nu(121, \alpha_n) = \frac{1}{24}(n^3 - n)$, and let $g(n) = \frac{1}{24}(n^3 - n)$.

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• Let
$$\alpha_n = \underbrace{1212\cdots 12}_n$$
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- We observe that $\nu(121, \alpha_n) = \frac{1}{24}(n^3 n)$, and let $g(n) = \frac{1}{24}(n^3 n)$.
- Given any partition π ∈ Π_n a copy of 121 in π can only use two blocks at a time.

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- Assume that π ∈ Π_n has 3 blocks. The first has a elements the second b elements and the third n − a − b elements. Then the maximal number of copies in π is g(a + b) + g(n − a) + g(n − b). This expression is maximized when a = b = n/3. So the number of copies of 121 in π is bounded by 3g(2n/3) = ^{n³}/₂₇ − ⁿ/₁₂.

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- A similar argument shows that the maximal number of copies in a partition with *k* blocks is also less that *g*(*n*).

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- Given any partition π ∈ Π_n a copy of 121 in π can only use two blocks at a time.
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- A similar argument shows that the maximal number of copies in a partition with *k* blocks is also less that *g*(*n*).

• Finally,
$$\delta(121) = \lim_{n \to \infty} \frac{g(n)}{\binom{n}{3}} = \frac{1}{4}$$
.

Death Star ($\delta(121) = \frac{1}{4}$) Destruction

The End of the Death Star

Death Star Explosion

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