## ENVIRONMENTALLY DISPLACED PEOPLE

#### L. Hens

Human Ecology Department, Vrije Universiteit Brussel, Belgium

**Keywords:** environmental displacements, mechanisms, causes, mitigating measures, environmental security, immediate impact

#### **Contents**

- 1. Introduction
- 2. Definitions
- 3. Mechanisms of Environmental Displacements
- 4. Causes of Environmental Displacements
- 5. Actions and Mitigating Measures
- 6. Discussion and Conclusions

Glossary

**Bibliography** 

Biographical Sketch

### **Summary**

Persons who are displaced within their country of habitual residence or who have crossed an international border and for whom environmental degradation, deterioration or destruction is a major cause of their displacement, although not necessarily the sole one, belong to environmentally displaced people. These persons are refugees in the real sense of the word, but their situation does not coincide with the legal definition of "refugee." Therefore, the term "environmental refuge" which is common in the literature, is not used in this paper.

Primary causes for environmental displacements include natural events necessitating disaster relief such as earthquakes, volcanic eruptions and floods. Human-made causes are by far the most underlying causes of the displacements. They include depletion of water, soil and other resources and/or environmental degradation, dam construction, nuclear testing, hazardous waste site construction, and industrial accidents. Global warming necessitates specific attention. Secondary causes (which in part result from the primary ones) include population pressure, diseases, malnutrition and poverty.

In discussing environmentally induced displacements, it is important to grasp the underlying mechanisms. Environmental displacements are seldom caused by one event. Rather they are a response to multi-factorial stresses. Moreover, many of the causes are interlinked. Water shortage for example results in threatened harvests, famine, disease, poverty and social marginalization. This type of environmental degradation spirals is the real motivation of a population's decision to move, as their homeland cannot sustain them any longer.

Action responses to environmentally induced migration have to do both with prevention and mitigation. At the prevention and preparedness side, environmental impact

assessment, strategic environmental assessment, implementation of international environmental conventions and national environmental plans are among the most important instruments. Relocation policies, resettlements, technical improvements, early warning systems, and local ownership of mitigating actions are essential elements of the mix of instruments which is necessary to help environmentally displaced people with rehabilitation and their eventual possible return to their homeland whenever possible.

At this moment, their number is estimated at 25 million and the trend is increasing. More research is needed to understand the fundamental causes and mechanisms driving environmental displacements. But probably the main problem these people actually face is a lack of official and legal recognition. This does not only hamper the formulation of more intensive policies to manage the problem, but also makes their presence prone to the generation of excuses to justify the outbreaks of ethnic tension and civil disorder. This shows how closely related environmental displacements and the broader concept of environmental security are. It equally shows that international recognition of environmentally displaced people as a vulnerable group that needs special assistance may now be seen to be desirable.

### 1. Introduction

An impressive number of examples worldwide indicate that people are forced to leave their land because it can no longer support them.

Haiti is an impressive example of this causal relation between environmental degradation and migration. In this country, deforestation, consequent erosion and population growth, has depressed per capita grain production to half what it was in the middle 1950s. Haitians now get just 80 per cent of their minimum nutritional needs. Added to that are the chronic political unrests and injustice of the previous regime. It is therefore understandable that 1.3 million Haitians have left their island during the last quarter of the twentieth century. Most have crossed borders and went to other Caribbean islands and the United States.

Unequal distribution of water resources in the Middle East reflects another aspect of the same phenomenon. In the case of the West Bank, population growth in the Jordan River basin increased demand for the scarce supply of fresh water. The over pumping of aquifers depleted and degraded the water supply, and salt intrusion from the Mediterranean affected some aquifers. As 40 percent of Israel's groundwater originated from the former Occupied Territories, the former sought to protect its water supply by limiting its use by both Jewish settlers and Arabs during the occupation of the West Bank. However, there was a marked differential in access: stringent restrictions on water use by Arabs meant that settlers consumed about four times as much water per capita as Arabs. Combined with other Israeli restrictions on Palestinian agriculture, this situation encouraged many West Bank Arabs to abandon farming and to move to towns. The migrants became either unemployed or day laborers within Israel.

In recent years the Aral Sea has lost 70% of its volume and has become polluted with pesticide residue and industrial pollutants. With the almost complete collapse of the Aral Sea fishing industry, sedentary fishermen have become "nomadic fishermen,"

migrating during many months from their original homes. Of the croplands around the Aral Sea, 78% of the irrigated areas are now salinized due to years of over-irrigation. Negative environmental impacts are sub-regional and interregional, as environmental displacement from one region affects other areas as well. Pollution, decreased sea levels, and salinized lands are now considered irreversible processes. With ever fewer jobs in agriculture, fishery and industry, some three million people have been uprooted from the Aral Sea region.

Senegal, in West Africa, has fairly abundant agricultural land, but much of it suffers from wind erosion, loss of nutrients, salinization due to over-irrigation, and soil compaction caused by intensification of agriculture. Mauritania borders Senegal in the North. Except for the Senegal River valley, along its southern boarder, and a few oases, Mauritania is for the most part arid desert and semiarid grassland. During the 1970s, the prospect of chronic food shortages and a serious drought period encouraged the region's governments to build a series of dams along the Senegal River, a rich agricultural region. Land values in the basin rose, since enhanced irrigation was expected to generate high returns from agriculture. To seize control of this valuable resource, the Islamic Mauritanian government, controlled by Moors of Arab origin, abrogated the rights of black Mauritanians to farm, herd and fish along the Mauritanian side of the river. In 1989, violence erupted after Mauritanian border guards killed two Senegalese. A spiral of retaliatory attacks took place against Moors living in Senegal and blacks living in Mauritania. Hundreds died and thousands became refugees in the violence that followed.

Environmental scarcity in Pakistan's rural areas—in particular, declining per capita availability of cropland and water—has increased rural-urban migration. The rural migrants settle on the least desirable land-areas that frequently flood and lack basic infrastructure. Environmental causes are not the only ones causing displacements in and around the country. Since 1979, Pakistan has been playing host to over 3 million refugees from Afghanistan. At the withdrawal of Soviet troops from Afghanistan, old caseload refugees have begin to repatriate, but it has also brought new waves of Afghan refugees fleeing into Pakistan. In all, fourteen different categories of refugees and mass migrants can be identified in Pakistan. It is most difficult to distinguish them accurately. Urban growth averages about 4 to 5 percent per year. Urban services are unable to keep up with urban growth. Sanitation services are often non-existent. Public health has been affected: waterborne diseases due to poor sanitation account for 25 to 30 percent of total cases in public hospitals in Karachi. The migration contributes to higher grievances within marginal groups in Pakistan's major cities and aggravates tensions and violence among diverse ethnic groups.

Ranging from the Amazon Basin to northern Saskatchewan, from the tropical rain forests of the Amazon, over sub-Saharan Africa, to Southern Asia, exploitation of natural resources including gas, oil, hydropower, timber, minerals, and other mega industrial projects by multinational corporations and governments has devastated, dislocated and decimated numerous locals, often indigenous tribal communities.

These examples illustrate the impact and the dimensions of environmental security and displacement. Moreover, they show key characteristics of the problem:

- Environmental degradation is a driving factor in causing refugees, both within and between countries.
- Environmental degradation in relation to migration is often the consequence of multiple factors.
- Seldom does the environment act alone. Environmental causes precede, amplify
  or underlie other causes of refugees: political and/or religious oppression,
  economic collapse, military and/or civil terror and/or war.
- Environmental security threatens not only human existence but also the peace and stability of regions around the world, especially (but not only) in poor countries.

Environmental security and displacement have been addressed in several international documents. Both the Brundtland report and the UN Conference on Environment and Development in Rio de Janeiro, 1992, pointed to environmental degradation as an important underlying cause for mass migration. Agenda 21 calls for increased research to identify "the major migration flows that may be expected with increasing climatic events and the cumulative environmental change that may destroy people's local livelihoods." Environmental degradation is identified as one cause for the movement of people by the Programme of Action of the UN International Conference on Population and Development in Cairo, 1994.

This paper aims at introducing the elements underlying the problems faced by environmentally displaced people. A definition section clarifies the basic concepts, the relationship between environmental displacement and environmental security, and the relation with related concepts such as environmental justice. The paper analyses and dissects the causes and mechanisms of environmental displacement and lists the main possible measures and policy responses.

Finally the paper highlights the limitations in the actual knowledge to fully grasp this complex and most interdisciplinary problem in the environmental arena.

## 2. Definitions

Environmental displacement is closely interlinked with environmental security. Displacement can be the cause or the result of a lack of environmental security. People may be forced to leave a region because the environment does not allow a safe living anymore. Moreover, it is possible that the displacement of people may eventually cause environmental insecurity both in the region of origin and in the new settlement area.

Environmental security is a state in which an ecosystem is able to support the healthy pursuit of livelihoods of the people living in that system. An environment can by itself be naturally insecure; for example, areas that are prone to natural disasters, such as floods, cyclones and volcanic activity. Moreover, there are human impacts that result in environmental changes, such as industrial pollution, or over-exploitation of natural resources. Special attention should be given to global environmental changes such as desertification, biodiversity and climate changes. Human actions may also lead to sudden and disastrous environmental disruption. This is for example the case when constructing large infrastructures such as dams, transport corridors and industrial

accidents. Both human-made and natural environmental security may interact and mutually reinforce each other. For instance, when natural floods in the lowlands are exacerbated by the consequences of large-scale deforestation in the upper regions.

Lack of environmental security does not necessarily lead to displacement of people. There are many examples of populations who coped with difficult environmental conditions and adjusted to possible dangers from natural disasters. If resources such as water become scarce, they may be used more efficiently (e.g. through integrated water management) or be replaced by substitutes (e.g. by replacing surface water by groundwater). Soil degradation can be prevented or slowed down, by using appropriate agricultural techniques. But next to the environmental elements, there are other factors that make people vulnerable to the lack of environmental security. These factors include economic conditions such as ownership, income, the social network, health, education and the family situation. When the combination of environmental, economic and social factors is too unfavorable, people might decide to migrate. If the environmental component is a major factor in their decision to move out, they are referred to as environmentally displaced persons. These are persons who are displaced within their country of habitual residence or who have crossed an international border and for whom environmental degradation, deterioration or destruction is a major cause of their displacement, although not necessary the sole one.

During the 1980s and the early 1990s, environmentally displaced persons were called environmental refugees. "Refugee" is however a term which has a strong legal connotation. "Refugee" is an international term, defined in section 6B of the 1950 statute of the Office of the United Nations High Commissioner for Refugees (UNHCR), and in article 1 of the United Nations Convention of 1951 relating to the Status of Refugees. In these documents a refugee is "any person who is outside the country of his former habitual residence, because he has or had well-founded fear of persecution by reason of his race, religion, nationality or political opinion, to avail himself of the protection of the government of the country of his nationality or, if he has no nationality, to return to the country of his former habitual residence." Environmental refugees consequently refers then to the people who are being forced to leave their homes; to retreat after losing battles with their environment, both natural, such as droughts, floods, cyclones and earthquakes, and permanent human-caused changes, such as dams, the slow degradation of farmland, the remnants of war and from industrial accidents.

A more recent, concise definition has been provided by Myers. Environmental refugees are persons who no longer gain a secure livelihood in their traditional homelands because of environmental factors of unusual scope, notably droughts, desertification, deforestation, soil erosion, water shortages and climate change, also natural disasters such as cyclones, storm surges and floods. In the face of these environmental threats, people feel they have no alternative but to seek sustenance elsewhere, whether within their own countries or beyond and whether on a semi-permanent or permanent basis. However in the strict sense of the interpretation "environmental refugees" do not meet the "persecution test." Also, in contrast with environmentally displaced persons, environmentally displaced people are sometimes (but not always) also seen as

voluntary migrants: persons who for economic, social, cultural, personal or other reasons leave voluntary the country of their habitual residence. Next to people pressed by environmental reasons, voluntary migrants include poverty migrants in search of better economic and social opportunities. This is not completely fair. A key feature of environmentally displaced persons is that they move because they have no other choice.

To avoid confusion with the legal definition of "refugees" provided above, the term "environmental refugee" is avoided. More and more frequently "environmentally displaced people" or "environmentally displaced persons" replace it. This points not only to a semantic discussion. The use of "environmentally displaced people" also includes that there is no legal recognition on this ground under the 1951 Convention relating to the Status of Refugees.

As environmental displacements are in part caused by exploitation of natural resources by mega industrial projects, environmentally displaced people face also environmental injustice. Environmental injustice implies any undue imposition of environmental burdens on innocent bystanders or communities that are not parties to the activities such burdens. Environmental inequity involves a skewed (or disproportionate) distribution of environmental risks by nationality, race, ethnicity, or class. These concepts are intertwined with the concept of environmental racism, which suggests a deliberate targeting of the communities of specific racial, ethnic, tribal, or cultural groups as depots for hazardous waste, environmentally and health-threatening products, and other forms of pollution. Both environmental injustice and environmental racism are promoted through systematic exclusion of minority groups in vital environmental policy decisions. Minority status, lower socioeconomic status. powerlessness, and other conditions of marginalization have been identified as major factors influencing the extent of environmental injustice and human rights repression. Localizing the cause of environmentally displace persons in the "environmental injustice" framework links up the discussion of part of them with legal frameworks and international legal recognition of the problem.

## 3. Mechanisms of Environmental Displacements

# 3.1 Multi-factoral Responses

Reasons why people decide to migrate differ from case to case and from area to area. However, apart from the case of catastrophes, whether natural or human-induced, they have in common that they are complex.

An investigation of responses to food insecurity in four villages in the Bawku east district in the northeast savannah of Ghana showed that food insecurity in these communities is mainly a result of the increasing frequency of drought in the area, which has only one rainy season. Sixty-eight women and men were interviewed. Responses are shown in Table 1. Although migration is not the most frequent response, almost half (48%) of the respondents in the study reported that some household members migrated to look for work during the last five years. Most migrants leaving Bawku are destined for the cocoa plantations in southern Ghana and sometimes in Côte d'Ivoire, while a smaller proportion seek employment in the urban centers of Kumasi and Accra. These

data show that environmentally displaced persons exist not only as a result of acute economic problems, but also due to gradual environmental changes.

This type of research is, however scarce, and is often based upon limited samples, making it difficult to extrapolate the results to a larger scale. Reliable, systematic, comprehensive data on environmental migrants are nonexistent.

Main coping strategy	Absolute number of respondents	%
Sale of animals	44	65
Sale of agricultural produce	7	10
Selling firewood	6	9
Borrow	5	7
Migrate	5	7
Casual labor		2
Total	68	100

Table 1. Coping responses to food insecurity, 1996; Bawku East District, northeastern Ghana (after Awumbila, 1997)

# 3.2 Environmental Degradation Spirals

A growing frequency of natural disasters, partially linked to climate changes, is one of the causes of the destruction of people's basic means of living. Difficult circumstances may drive people towards marginal (e.g. the edges of the Sahara), fragile (e.g. mountain areas) or disaster-prone (e.g. the fertile, but flood prone islands in front of Dhaka's cost) areas, not fit for cultivation or human settlement. The result is a further degradation of a land and a narrowing of the basis for their already precarious situation. In many developing countries, notably in Africa and Southern and Eastern Asia, the likelihood and frequency of natural and human-made disasters is closely linked to economic, social and political difficulties. Countries, which are hardest hit by natural disasters, are often those, in which environmental degradation is proceeding rapidly as a result of human activity. In many Southeast Asian countries upstream deforestation has made coastal areas more vulnerable to cyclones and floods. Rapid population growth and poverty drives people to cultivate fragile and endangered land, and adds to a steadily rising numbers of people vulnerable to environmental change. A vicious spiral of environmental degradation and displacement may start if the problem of environmental insecurity is not addressed at an early phase.

## 4. Causes of Environmental Displacements

Although complex and interwoven, it is possible to detect in an analytical way the major causes of environmental displacements. The most important ones are schematized in Table 2. The table shows that these root causes can be natural or human-made. Among the latter is resource degradation and climatic changes which require the following special attention:

(a) A natural disaster is "the impact of a natural hazard upon a population or area which is vulnerable to such impacts and where impacts result in substantial damage, disruption and casualties." They include earthquakes, volcanic eruptions, hurricanes, floods, droughts, heat- and cold waves, and fires. In all these situations the impact is manifested as a disruption of the human ecology of the affected area. They have become hazards since humans are involved and they have become disasters as a result of the way humans live in natural hazard-prone areas.

Natural disaster prone areas are unevenly distributed over the world. Areas where tectonic plates meet are prone to earthquakes. Hurricanes can only originate in areas where the surface temperature of the ocean water is 26°C or higher. Islands offer an interesting case study. Some of them are prone to earthquakes and volcanic eruptions as a result of their geographic location and geological ontogenesis. Moreover, many islands and island archipelagos lie in the oath of revolving storms. Extreme weather events such as the El Niño/La Niña climatic phenomena also affect island states causing forest fires and droughts and an increase in epidemics and disease outbreaks such as malaria, Dengue fever and cholera. Islands in the Caribbean, South Pacific, and Indian Ocean are particularly at risk to multiple natural hazards.

During the last quarter of the twentieth century natural disasters killed an average of 3311 people each year on islands globally. They also affected nearly 6 million islanders per year. During the period 1280 island disasters were reported, an average of 51 natural disaster events per year. Most of them were experienced on Asian islands and the least on European islands. Most disasters during this period were the consequence of high wind incidents (30%), with islands in Asia and the Caribbean being prime hosts of hurricanes and typhoons. There were also a significant number of earthquakes (10.5%) and floods (17%) reported during this 25-year period.

"Damages and economic losses directly related to the occurrence of a disaster situation" is the indicator used to estimate the economic impact of disasters. Disasters on islands caused US\$61 billion in damage over 25 years, i.e. islands on the average face nearly US\$2.5 billion in direct financial costs a year. Asian islands bore over 80% of these costs.

In 1998 the incidence of natural disasters was particularly high. 44 disasters were reported to have affected islands. In 1998, one event, a tsunami, killed over 2000 people in Papua New Guinea. This is the event with the highest number of reported deaths during this year. Over 10 million islanders suffered from various consequences of disasters including loss of their homes, livelihoods, family and injuries. Although this is an alarming picture, it is unclear how many people, driven by these catastrophes, decided to leave their homeland and to migrate.

(b) Land degradation: Erosion, salinization and water logging of irrigated lands are the main causes of land degradation. Today, an estimated 6 070 500 ha of land each year lose its productive capacity. 20% of vegetated land in Asia is degraded since 1945. In Africa this figure amounts to 22% and in South America it is 14%.

Erosion threatens the topsoil in a dramatic way. During the past 20 years some 500 billion tons of topsoil have been eroded away worldwide, roughly equivalent to the topsoil in India's croplands. In countries as disparate as Mexico, Costa Rica, Mali and Malawi, soil erosion causes annual losses in farm output worth 0.5 to 1.5 percent of the GNP. Yet between a quarter and half a billion impoverished people in developing countries find them obliged to farm hillsides where they cause exceptional erosion.

Improper irrigation has caused worldwide  $3.5 \text{ million km}^2$  of salinized land.  $15\ 000 \text{ to } 20\ 000\ \text{km}^2$  are lost every year to salinization.

(c) Water shortage: 550 million people today live in countries with chronic water shortages. According to the World Water Council 25 countries cope today with serious water shortage. In 2005 this number will be increased to 60 countries. By 2025 an estimated number of 3 billion people will have to live with "water stress," structural shortage in different intensities. The principal risk areas include parts of India and Pakistan, the Middle East and much of Africa.

Water shortages cause major problems for health, irrigation agriculture and industry. The World Bank estimated that providing the population worldwide with drinking water would cost 600 to 800 billion dollars. Treating this water (to recycle it e.g. in agriculture) doubles this figure. Offering basic water security by the year 2025 would necessitate at least a doubling of the investment cost in water infrastructure works.

Water shortages are made worse by increasing droughts in the wake of global warming. Droughts that have only a five percent frequency today may increase to 50 percent by 2050. Water is intimately related to preventable diseases, as the main group among them is water born, agricultural yield and poverty.

(d) Desertification: Today 30% of the earth's land surface is affected by the degradation of fragile dry lands. It thereby threatens the livelihoods of at least one billion people in 100 countries, of whom 135 million are experiencing the rigors of severe desertification. It eliminates 60 000 km² of agricultural land each year, and reduced another 200 000 km² to a state of grossly depleted productivity. The cost of agricultural output lost is around US\$42 billion per year.

Areas which are most threatened include northeastern Brazil, north and central Mexico, western India, Pakistan and North Africa. But drought is a still more important factor in Sub-Saharan Africa. This is the region with some of the greatest population pressures, and committant environmental pressure. It has by far the largest proportional numbers of environmental refugees already. Especially at risk are the Sahel countries, where the desert moves up and down, from the Horn of Africa, and a "dry corridor" in the South and from Namibia through Botswana and Zimbabwe to southern Mozambique.

Desertification is a typical example of a complex human ecological problem: it reduces the land's resilience to natural climate variability, it undermines food production and contributes to famine, it deeply affects the socioeconomic conditions of the local population, thereby triggering a vicious spiral of poverty, ecological degradation, migration and conflict.

(e) Deforestation: It has been estimated that up-to-date 350 million people may face absolute shortages in fuel wood. In 2010, 40 to 50% of the existing forest cover is projected to be lost. Slash-and-burn cultivators cause well over half of all the forestation, most of them are peasants displaced by soil erosion, water deficits, landlessness, poverty and population pressure in traditional farmlands. These "shifted cultivators" now totalize at least 200 million and possibly as many as 500 million.

Whatever the causes of deforestation are, it eliminates the homelands and the livelihoods of large numbers of people. Especially ethnic minorities and other indigenous people are most vulnerable. Documented cases of involuntary migration caused by (among other reasons) desertification exist in the Philippines, Ethiopia, Madagascar, Peru, Haiti and Brazil.

Deforestation influences flood/drought regimes and local rainfall. Tropical deforestation is also the cause of the bulk of species extinction.

(f) Climate change: median estimates project a 3 to 5°C rise in temperature by 2060. This temperature effect will unevenly be distributed over the world, with more pronounced changes at the poles as compared to the equator. Sea rise is expected to be 18cm by 2030, indicating the loss of coastal lands and flooding estuaries. Today, there are 70 to 80 annual cyclones worldwide, causing 15 000 to 23 000 deaths a year. The damage they cause is estimated at around US\$1.5 billion. The trend increases.

Areas, which are most vulnerable, include coastal zones where one third of the world population lives. Islands with a minimal elevation above sea level such as the Maldives in the Indian Ocean and many Caribbean Islands, and areas prone to cyclones, which are formed over seas with surface temperatures above 27°C.

This allowed the Intergovernmental Panel on Climate Change (IPCC) to conclude, "The gravest effects of climate change may be those on human migration as millions are uprooted by shoreline erosion, coastal flooding and agricultural disruption."

(g) Industrial accidents: A classical example of the link between displacement and industrial accidents is provided by Chernobyl (Ukraine). There were two successive explosions on the morning of Saturday 26 April 1986 at 24 minutes past midnight. In the days after the explosions and fire, some 135 000 people had to be evacuated within a 30 km radius from the stricken plant. Of the 91 000 Ukrainians evacuated—the other people were from Byelorussia, just across the border—some 60 000, including most residents of Pripyat ended up in Kiev. Of these displaced people, many were housed into dingy over-crowded apartment buildings on the western and southern fringes of the city. These areas are now referred to as "little Chernobyl."

The resettlement was only the beginning of the problems for the displaced people. Thousands needed treatment for milder forms of radiation sickness. Up to 300 000 Ukrainians and Byelorussia's are now being treated for the consequences of the accident. For administrative purposes, the Ukraine now has divided its contaminated areas into four zones around Chernobyl, containing nearly 3 million people, including 500 000 children.

Chernobyl is not a unique example of an industrial accident linked to displacement. Similar relocation involved people threatened by the effects of hazardous waste contamination. Evacuation and relocation of people is part of any industrial emergency and disaster plan. In those cases where relocation of people can limit exposure to the pollutants emitted during an accident it will be practiced.

(h) Predictable environmental causes of displacement involve people who had to move because their homeland was destroyed by nuclear tests. Immediately after the Second World War the US decided to use the Pacific Marshall and Gilbert islands as their nuclear testing ground. The entire population of a number of these islands had to move. The 120 natives of Bikini were allowed to vote on which of the other thirty-one atolls in the Marshall they would move to, and they picked Roderick. Today, more than 50 years after the atomic bomb tests, the people of the Rongelap Atoll still cannot go home as their land is still contaminated. Bitter exile, illness, tales of suppressed medical evidence and natives treated like subhuman characterize the complex story of these people.

Displaced people characterize also the construction of large dams worldwide. Currently there are about 45 000 dams higher than 15 meters ("large dams") throughout the world. Three quarters of them have been built during the last 50 years. The reservoirs formed by these dams store about 3600 km³ of water. Benefits of these dams include water supply, irrigation for agriculture, flood control, hydropower and inland navigation. Most of these dams are built for several purposes. While dams provide benefits to society, there are also a number of impacts, which offer serious concerns. These include resettlement and relocation, local socioeconomic impacts, environmental concerns, sedimentation issues and safety aspects.

The relocation issues are undoubtedly most significant, as might be illustrated by the case of the Hoa Binh hydropower dam in Northern Vietnam, about 100 km North-West of Hanoi. The lake created by this dam includes 19 800 ha, of which 2700 ha were rice fields and 2400 ha was cropland. 51 600 people from 8000 families had to be resettled. This coincides with 10 ha of included land and 27 resettled people per generated MW. In spite of major efforts by both the Vietnamese government and the local population, the resettlements resulted in a disruption of traditional agricultural practices, households which had to move many times, difficulties in stabilization of resettled people in new localities, problems with the existing population in the resettlement areas, shortage of water in some places, and threat to remaining forests near the resettlement sites. 40% of the relocated households are in poverty with food shortage. Ironically, not all resettled people can use the electricity generated by the water of the dam.

The core discussion on dams today is whether these concerns and adverse impacts of dams can really be minimized and mitigated by careful planning, environmental impact assessment, and management. If the answer to this question is negative, this will put a big burden on the construction of large dams in the future.

(i) Population pressure: Large numbers of people concentrated in specific areas such as coastal zones, can, in conjunction with environmental factors, lead to forced migration. Documented examples exist in the Philippines, Japan, Bangladesh, Ethiopia, Mexico

and Central America. The problem of population pressure as a driver of migration is closely associated with poverty and other forms of marginalization.

(j) Diseases and malnutrition contribute to displacements. Readily preventable diseases kill almost 20 million people in developing countries each year. Water is key issue in this respect as most of these presentable diseases are waterborne.

Chronic under-nutrition afflicted 800 million people in 1990, at least 400 million suffered from outright malnutrition, and some 25–35 million people were at risk of famine. Today, one child in six is born underweight, a proportion that rises twice as high by the age of five.

In 2000 a famine threatened 12 million people across seven countries in the Horn of Africa.

(k) Poverty, and especially absolute poverty, works in conjunction with environmental factors and population pressures to produce sizeable numbers of refugees. The problem is widespread and growing worse. People in poverty will grow from 1.3 billion in 1995 to 1.8 billion in 2010.

In many developing countries, there has been a steady decline of per-capita income since 1980, in some instances as much as 25 percent (Sudan, Rwanda, Nigeria, Ivory Coast, Madagascar, Nicaragua, and Haiti). As a result, the poorest one third of humanity receives an annual cash income of less than 5 percent of the global economy.

Poverty is closely associated with environmental decline, disease, malnutrition, population growth, and general destitution, all factors acting in combination and pushing to further migration.

# **5. Actions and Mitigating Measures**

## 5.1 Cause Related Approach

The analysis of the causes of environmentally induced displacements provides a framework for actions to prevent environmental insecurity and such displacements. When migration has occurred, mitigation and rehabilitation measures should be established.

The possibilities to prevent natural disasters, except those emerging from global changes, are limited. Nevertheless much more can be done on research to develop early warning systems, preparedness, mitigation and rehabilitation. This necessitates a complex system of collecting data, identification of possible affected areas, the building of early warning systems, the training of personnel and the population for disasters, disaster mitigation, and rehabilitation strategies often after the disaster has occurred.

The impacts of human driven causes of environmental insecurity differ in scale, timeframe, intensity, predictability and reversibility (see Table 2). Therefore, they call for a variety of interventions.

			Time	Scale and	Predictability	Reversibility
			frame	intensity	**	g .
Natural	Earthquakes,		Short-	Local and	Limited or	Sometimes
Causes	eruptions, flo	_	term	severe	none	
		disaster relief				
	Global warming, acid rain,		Long-	Global,	To some	Partial and
	pollution of river ways, etc.		term and	regional &	degree	difficult
			gradual	incremental		
	Industrial accidents		Short-	Local and	Limited or	Sometimes
			term	severe	none	
	Predictable environmental		Medium	Local and	Yes	No
	causes of displacement		to long-	sometimes		
	(reservoir construction,		term	severe		
	nuclear testing, hazardous					
	waste site construction,					
Human-	mega projects, etc.)					
made	Depletion	Localized	Medium	Basically	To some	Sometimes
Causes	of resources	problems	to long-	local, and	degree	partial
		(degradation	term	could be		
		of		serious		
		agricultural				
	And/or	base; soil,				
		water,				
		wildlife		_		
		resources)				
	Environ-	Irreversible	Long-	Local to	To some	No
	mental	problems	term	regional &	degree	
	degradation	(severe soil		serious to		
		erosion and		severe		
		desert-				
		ification)			•	

Table 2. Environmental change as a cause of migration (after IOM, 1996)

## (a) Immediate impact, with limited predictability:

Industrial accidents and natural disasters such as flash flooding, earthquakes, typhoons, or volcanic eruption, have similar characteristics, in that both events are sudden, uncertain and may cause severe damage to the environment and human security. In both cases the prospects of predicting their intensity, outreach and duration are limited. The major difference between natural disasters and industrial accidents, however, is in the general opportunity for humans to prevent or reduce the probability of the latter.

The concept of disaster reduction is crucial in this context. It extents to natural and other disaster situations including environmental and technical disasters and their interrelationships which can have a significant impact on social, economic, cultural and environmental systems. All efforts should be undertaken to apply the existing scientific and technological potential to prevent severe disaster situations, which would lead to displacement with no possibility to return. This includes adequate risk assessment, vulnerability analysis, and countermeasures in the field of infrastructure planning and project implementation, hazard monitoring, and structural strengthening of infrastructure. National environmental legislation should be strengthened to establish an environmentally less harmful economy.

Prevention of the consequences of climate change, necessitate particular attention. The Rio Convention on Climate Change has initiated a dynamic which, for the time being, resulted in the Kyoto protocol. The results of this process are that there exist now on a worldwide basis quantitative targets to curve down the emissions of CO<sub>2</sub>. Although the Kyoto targets are insufficient to stop the effects, which are foreseen to result from the increase of greenhouse gas concentrations in the atmosphere, they constitute a necessary first step of a process, which needs to be intensified in the future.

# (b) Immediate impact, with high predictability:

Large-scale infrastructure projects can imply negative environmental impacts similar to industrial accidents. The negative environmental impacts, persons to be displaced and causes of displacement of a large-scale hydropower plant or a hazardous waste site, can be identified during the planning phase of the project. Nevertheless, possible environmental risks of such projects are often accepted on the basis of economic, political and other considerations.

The world opinion is actually split on the likeliness of these mega projects. It is unclear whether there is a decision to continue to build large dams, especially because the benefits are smaller than the global costs. However, if such projects are realized, environmental impact assessments (EIA) should be undertaken, with explicit components on the risk of out-migration and the potential environmental impacts in the new resettlement areas of displaced populations.

# (c) Gradual impact, with partial predictability.

The process of environmental degradation may be gradual, as in the cases of water pollution and water shortage, coastal flooding and more frequent disasters due to global warming and sea level rise, over exploitation of natural resources, desertification and soil erosion. Detrimental environmental problems may remain undiscovered until a certain threshold is reached beyond which they become a severe threat to the environmental security of the region.

Preventive action is the most important strategy to use in tackling these problems. Although a legislative and policy basis exists to address these issues, this should be strengthened both at the national and the international level.

Next to prevention, there is a need to identify these dangers at an early stage. Regions that are likely to become environmentally insecure should be monitored. Research is needed to collect both the physical data (e.g. using geographic information systems) and the socioeconomic and political situation in the area and the vulnerable groups living there.

## **5.2 Principles and Instruments**

To act on the minimization and alleviation of environmentally induced population displacements, a number of specific needs can be identified. Moreover, it is possible to address them using a set of policy instruments, which should be used in a context for

which a number of basic principles apply. Key elements in this framework are the following:

(a) Research: More research targeted towards a better understanding of the synergies between the underlying factors of dislocation is necessary. Environmental change is by no means the only source for the displacement of people. Studies must be customized to consider specific cultural, economic and political circumstances to determine the real causal network underlying environmental insecurity.

Geographic information systems (GIS) have an important role to play here. They can be used to describe and analyze a wide variety of drivers, including drought, earthquakes, erosion, floods, forest change, irrigation, landslides, mining, pests, population, salinization, storms and volcanic eruptions. They can equally be used in locating potential problem zones, in making inventories of problem zones and in verification and analysis of the information. They have been used in monitoring both preventive and follow-up aspects of environmental displacements.

Specific research is needed to establish early warning systems. They should allow global surveillance of areas at risk of gliding off into environmental insecurity and displacement. These early warning systems are essential to develop, as policy intervention for most causes underlying the problem is most successful at early stages of conflict.

Of great importance to all populations living in resource-marginal and natural catastrophe prone areas is disaster preparedness should be developed as an essential tool in facilitating and preventing disruption of the developmental process.

But research should go beyond this first descriptive-analytical phase. Science for environmental displaced people should tackle questions on prediction. Leiderman for instance is developing a mathematical model that could be applied to any community or society. One side of the equation is a product of the rate of ecological deterioration, multiplied by the time over which destruction takes place and by the vulnerability of the people and the environment. On the other side, the rate of restoration is multiplied by the time spent restoring an environment and its people to bounce back. Clearly, each of the components is the result of a complex set of variables.

Research to prevent environmental insecurity is deemed to handle the problem in an inter- or even trans-disciplinary way. This is tremendously more difficult for scientists than their traditional reductionist approaches. Until now they have failed to generate specific models sophisticated enough to account for the interactions between the socioeconomic and environmental dimensions of these problems.

(b) Environmental impact assessment (EIA) and strategic environmental assessment (SEA): Environmental assessment is still the most specific instrument for environmental prevention. It has been shown that displaced people suffer the most important impact in the construction of large dams. Displacements should be addressed more fully to obtain more weight in the assessment of projects. However, as most of the causes which need to be prevented appear more at higher levels of decision making than at the project

level, environmental displacements should be addressed systematically through strategic environmental assessment of those sectors such as agriculture and mining which are contributing to the problem. These impact assessments should pay specific attention not only to the mitigating measures but also to the monitoring of environmental displacements.

- (c) Strategic environmental planning: One of the most important problems environmental displaced persons face is that their problem is still marginally recognized. For those who had to move this recognition by authorities and society as a whole is most important. Part of it entails that environmentally induced population displacement should be integrated into environmental plans and national conservation strategies. These strategies and plans must include capacity building for forecasting, monitoring and contingency planning; mitigation and rehabilitation measures; be based upon participatory approaches; and be developed in a decentralized way. They should also be linked up to economic development plans.
- (d) Resettlement and return policies: Many problems faced and caused by environmentally displaced people originate from the fact that there is no guidance when they have to be resettled. People who saw their home place destroyed by an artificial hydropower lake move into the adjacent mountains, where they start agriculture in inhospitable areas, causing erosion, render the environment most unsustainable, and finally migrate for years leaving behind a trace of environmental destruction.

To avoid this, countries should have resettlement policies to prepare for those situations in which environmentally displaced people cannot return to their home countries.

When, such as after floods, return is possible, an active policy which aims at assisting migrants to return to their home areas should be developed.

These resettlement and return policies should have a number of distinct particularities. They should entail environmental and technical measures to avoid that the next flood will lead to similar disasters as the previous one. In resettling people attention should be paid to the needs of local communities and defusing potential conflict and competition over scarce resources.

- (e) Local participation: Those who have written and spoken most about environmentally displaced people are academics, analysts, investigators, journalists, and policy groups, but almost never the displaced people themselves. However, wide consensus exists that projects not supported by local participation and general awareness are not sustainable in the long run. Therefore, it is very necessary that actions in this area should encourage the active participation and involvement of the displaced and local communities. Actions should include education and awareness rising about environmental problems as well as about linkages between the environment, the economy and the land-use.
- (f) Coordination: Environmentally displaced persons are a problem involving a wide range of target groups. International, national, regional and local actors, whether government, authorities, NGOs, cooperation agencies, the private sector or donors, are all indispensable for instituting the required prevention, mitigation and rehabilitation

measures. There is a need for more active interchange of information and coordination of actions.

(g) International Agenda: Although during the last decade the number of conferences and discussions which had environmentally displaced people and environmental security as a key focus on the agenda, is increasing, the issue is recorded a rather low attention on the international refugee and environmental agenda. This has to change. A variety of tools should be utilized by states and organizations to highlight the situation and focus international attention on the problem. The media is a powerful player in bringing this message across. Drawing more international attention on environmentally displaced people is an important precondition to finding viable and effective solutions.

## 6. Discussion and Conclusions

People, who are forced to leave their land mainly because it can no longer support them, are called environmentally displaced people in this contribution. However these people:

- Leave their land are persecuted by causes outside their control
- Do this because there is relatively insufficient management of the root causes of the problem
- Eventually cross national borders.

Therefore, indeed these people are environmental refugees. Calling them "environmentally displaced people" is only based upon avoiding confusion with the legal definition of "refugees."

According to Meyers, there are at least 25 million environmentally displaced people. He estimated that this number is likely to rise to 50 million by 2010, and could reach 200 million by the middle of next century if global warming effects such as sea level rise, coastal flooding and weather pattern changes become obvious.

The global figure of 25 million environmentally displaced people compares with 23 million traditional refugees, people fleeing political oppression, religious persecution and ethnic troubles. It is also a ratio of one person in 225 worldwide.

Most environmentally displaced people are in the African Sahel, the Horn of Africa and other parts of Sub-Saharan Africa. But also Mexico, the Indian subcontinent and China are areas where people leave their homeland driven by mainly environmental reasons. Moreover, also the US and Europe have areas prone for example to drought, which might contribute to people's decision to migrate.

The discussion on environmentally displaced people is characterized by an important deal of scientific uncertainty. The numbers cited above constitute only a first-cut assessment. This paper has provided an overview of the causes and the driving actors behind environmental displacements. However, at the same time, it was pointed out that environmental change is by no means the only cause of the displacement of people. More fundamental causes are inbuilt in the interaction between environmental, socioeconomic and politic factors. Understanding the nature of the interacting and

distilling the causal relationships of the driving factors of environmental displacements necessitates the use of new inter- and trans-disciplinary approaches and for which science is still searching for a proper paradigm and appropriate methods.

This scientific uncertainty hampers the development of appropriate policy responses. However, during the last decade of the twentieth century both scientific and political attention for the problem increased. But the problem of environmentally displaced people still lacks official and legal recognition of their problem. People who migrate for environmental reasons fall outside the categories protected by the instruments of international refugee law, both in terms of the text and the intent of the drafters, and in terms of contemporary practice. This does not only hamper the design of a proper policy to manage the problem, but might even work counterproductively. There are limits to host countries' capacity, let alone, willingness, to take in eventually displaced people. As a result, immigrant aliens present abundant scope for popular resentment, however unjust this reaction might be. In the wake of perceived threats to social cohesion and national identity, refugees can become an excuse for outbreaks of ethnic tension and civil disorder. Here the problem of "environmentally displaced people" links up with the wider context of "environmental security," which threatens not only human existence but also the peace and stability of regions around the world.

For Leiderman environmentally displaced people are bio-indicators of environmental quality. Today, there are refugees from floods, toxic spills, dumpsites, desertification, hydroelectric projects, strip mining, radiation exposure, severe logging, soil erosion, agricultural land abuse, disease epidemics, defoliation, land mines, and other unwitting or intentional human activities. Therefore, the over-riding objective for all countries, whether industrialized, or economies in transition or developing, is to reduce the motivation for environmentally destitute people to migrate by providing them with acceptable lifestyles through sustainable development. No doubt each country has its responsibility on this issue. But there are also important international dimensions of the problem: the aspect of crossing borders, the global migration patterns towards the "North," the worldwide causes and effects of the underlying drivers. Therefore, environmentally displaced people should move fast on the agenda of international cooperation and environmental diplomacy.

### Glossary

### Climate change:

Major changes in the Earth's energy balance and climate have occurred over geological time, and smaller changes are evident over the last few hundred years of recorded history. Climate change is not well understood as it involves many interacting factors, some of which are influenced by human activities but many others are natural. Human activity may influence climate change in several ways. The release of the gases carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and CFCs enhances the greenhouse effect and is expected to cause global warming. Changes in reflectance of the surface, brought about by changes in land use such as the replacement of forests by farmland, are thought to be significant. Release of aerosols in fossil-fuel

**Deforestation:** 

combustion may have a small effect.

The removal of closed forest or open woodland, has been taking place all over the world for millennia. Commonly, deforestation is to create land for agriculture; if this is abandoned, shrub lands and three cover eventually re-establish unless the site has become very seriously eroded. The greatest tracts of remaining intact forest are the Boreal conifer forests at high northern latitudes and the tropical moist forests that girdle the Equator. These last are currently undergoing massive and highly publicized deforestation, at a rate of about 0.9% per year during the decade 1980–1990. Peninsular Malaysia went from 74% to 40% forest cover in the 34 years from 1958 to 1990. The assault on tropical forests is thus the latest episode in mankind's continuing war on the world's natural vegetation cover, more widely publicized due to modern communications and more rapid because of powerful modern machinery.

**Desertification:** 

The general reduction in the biomass and productivity of the world's dry lands that has become increasingly apparent over the past few decades has been termed desertification. Many areas that were formerly capable of sustained agriculture and pastoralism have, as a consequence, been lost and widespread famines have periodically resulted. The role of human beings in the process of desertification has been widely stressed.

Environmental accident: Environmental impact assessment:

**Environmental** 

injustice:

An undesirable, unexpected event that causes contamination and possibly pollution.

Is an instrument, which is used to aid and improve the decision

making process. The objective is to determine the potential environmental, social and health effects of a proposed development project. It attempts to assess these effects in a form that permits a logical and rational decision to be made. Attempts can be made to reduce or mitigate any potential adverse impacts. Environmental injustice implies any undue imposition of

environmental burdens on innocent bystanders or communities that are not parties to the activities generating such burdens. Environmental inequity involves a skewed (or disproportionate) distribution of environmental risks by nationality, race, ethnicity, or class.

Is a state in which an ecosystem is able to support the healthy

Environmental security:

pursuit of livelihoods of the people living in that system.

**Environmentally** displaced people:

Are persons who are displaced within their country of habitual residence or who have crossed an international border and for whom environmental degradation, deterioration or destruction is a major cause of their displacement, although not necessary the sole one.

Natural disaster:

The impact of a natural hazard upon a population or area which is vulnerable to such impacts and where impacts result in substantial damage, disruption and casualties.

Refugee:

Is any person who is outside the country of his former habitual

residence, because he has or had well-founded fear of persecution by reason of his race, religion, nationality or political opinion, to avail himself of the protection of the government of the country of his nationality or, if he has no nationality, to return to the country of his former habitual residence.

**Relocate:** To reassign place or locality.

**Resettlement:** To establish oneself or take up residence again.

**Strategic** The application of EIA at the level of policies, plans, programs or **environmental** other human activities which are more than an individual project.

assessment:

Strategic A process of matching and prioritizing society's need for, and

environmental

planning:

conservation of, environmental and natural resources.

#### **Abbreviations**

**DAC:** Development Assistance Committee. **EIA:** Environmental Impact Assessment.

**EU:** European Union.

**GIS:** Geographic information systems.

**GNP:** Gross National Product.

IOM: International Organization for Migration.IPCC: Intergovernmental Panel on Climate Change.

**OECD:** Organization for Economic Collaboration and Development.

**RPG:** Refugee Policy Group.

**SEA:** Strategic Environmental Assessment.

UN: United Nations.

**UNCED:** United Nations Conference on Environment and Development.

**UNEP:** United Nations Environment Programme.

**UNHCR:** United Nations High Commissioner for Refugees.

US: United States.

WCED: World Commission on Environment and Development.

### **Bibliography**

Adeola F. O. (2000). Cross-national environmental injustice and human rights issues. *American Behavioral Scientist* **43**, 686–706. [This article focuses on the issues of environmental injustice and human rights violations across nations. It links up patterns of the transnational toxic waste trade and natural resource exploitation with environmental injustice in general and environmentally induced migration in particular.]

El-Hinnawi E. (1985). *Environmental Refugees*, 44 pp. Nairobi: United Nations Environment Programme. [A classical introduction to the problem of environmentally displaced persons.]

Hens L. and Boon E. K. (1999). Institutional, Legal, and Economic Instruments in Ghana's Environmental Policy. *Environmental Management* **24**(3), 337–351. [This paper provides an overview of key environmental problems in Ghana. It entails an illustrative section on environmental displacements both in the north of the country, where desertification is a driving actor and in the south, where people in the city of Keta are expulsed from their houses by coastal erosion.]

IOM (International Organization for Migration) (1996). *Environmentally-Induced Population Displacements and Environmental Impacts Resulting From Mass Migrations*, 128 pp. Geneva: IOM. [Proceedings of an international symposium jointly organized by the United Nations High Commissioner

for Refugees (UNHCR), the International Organization for Migration (IOM) and the Refugee Policy Group (RPG). The Proceedings over-view almost all recent trends of the discussion. They deal both with environmentally induced population displacements and environmental impacts resulting from mass migrations.]

Leiderman S. M. (2000). *Comprehensive Portfolio on Environmental Refugees and Ecological Restoration*. Durham: University of New Hampshire. [This collection includes numerous research papers, reports, conference transcripts, lectures, analyses, proposals and bibliographies produced from 1992 through 1999, during doctoral work. The work of Leiderman is trend setting for predictive research on environmentally displaced people.]

Meyers N. (1995). *Environmental Exodus: An Emergent Crisis in the Global Arena*, 214 pp. Washington, DC: Climate Institute. [This report takes a hard look at the developing environmental crisis that is causing displacements. It is widely considered as a scientific standard work in the discussion on environmentally induced shifts in population in the world.]

OECD/DAC (Organization for Economic Collaboration and Development/Development Assistance Committee) (1996). In depth session on environmental security and displacement. Paris, France. [Collection of papers supporting an in-depth discussion the DAC working party on development assistance and environment spend on the issue up October 28<sup>th</sup>, 1996. The discussion focused on the increasing calls for international interventions in form of humanitarian assistance and rehabilitation programs related to deteriorating environmental security and increasing numbers of environmentally displaced persons.]

### **Biographical Sketch**

Luc Hens graduated as a Biologist and later received his Ph.D. in Biology from the Free University of Brussels (Belgium) where he is at present Professor and Head of the Human Ecology Department. The department organizes a "Master degree in Human Ecology" which is targeted towards an international audience. Hens also lectures at the Technical University of Sofia (Bulgaria). His specific area of research concerns the elucidation of interdisciplinary instruments for sustainable development. This entails fundamental research on environmental impact assessment, life cycle analysis and environmental care systems. Professor Hens acts as an expert in environmental policy in several councils in Belgium. He is the European editor for the 'International Journal on Environmental Pollution' and for the journal 'Environment, Development and Sustainability'. Luc Hens published 63 papers in international peer reviewed journals and is the author, co-author or co-editor of 26 books in the broad area of environmental management and human ecology.