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## **Ethical Compliance Questionnaire**

Name of Principal Investigator					
Title	of s	study			
Com	plete	all items on this form and/or on separate sheets of paper attached to this form.			
I.	Sub	oject Recruitment and Requirements			
	1.	What type and how many human subjects will you require? (gender, age, location, affiliation, special characteristics, estimated number required)			
	2.	Where and how do you propose to recruit subjects?			
	3.	If your study involves subjects in institutions other than MSUM (schools, hospitals, other agencies), how will institutional consent be obtained? A signed letter of permission from an institutional representative is required. Attach copy to proposal.			
	4.	How much time will be required of each subject?			

This form and complete instructions are available online at: http://www.mnstate.edu/irb

If yes, please specify:  6. Is confidentiality assured?	<ul> <li>6. Is confidentiality assured?  Yes No If yes, how?  If no, why not?</li> <li>7. What benefits do subjects obtain by participating?</li> </ul>						
If yes, how?  If no, why not?  7. What benefits do subjects obtain by participating?  Subject Risk  Certain practices are generally to be avoided. If any are included in the proposed study, check the blank	If yes, how?  If no, why not?  7. What benefits do subjects obtain by participating?						
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Deception Pain, threat, or aversive stimulation							
Embarrassment Invasion of privacy	Embarrassment Invasion of privacy						

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## **III. Informed Consent**

A copy of the signed Informed Consent form must be given to subjects or guardians. For surveys and quesionnaires that do not involve sensitive topics or minors, return of the questionnaire can be taken as implying consent. However, a cover letter must be included which contains the elements of consent and gives enough information about the survey that the subjects can choose to participate or not. Attach copy of cover letter if appropriate.

	nors and/or Adults Incapable of Giving Consent
1.	Will your study use minors or adults legally incapable of giving consent?  Yes No
	If yes, how will permission be obtained from parents or guardians and assent from the subject?
2.	Is informed consent form, method of obtaining assent, and/or cover letter attached? Yes No
Con	nsenting Adults
1.	If subjects are of legal age and capable of giving consent, how will consent be obtained?
2.	Is informed consent form or cover letter attached? Yes No
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Del	briefing
1.	Will subjects be provided with feedback about the study?
	If yes, when and how?
2.	Is a debriefing form attached? Yes No Include debriefing statement when applicable.

	3.	If deception has been used, how will the subjects be informed?
	4.	What follow-up supports will be available if subjects experience undesirable consequences of participation?
V.	Ma	iterials
	1.	What questionnaires, inventories, tests, or other instruments will be used? Attach copies of investigator-prepared materials or a a description of commercially prepared or copyrighted materials.
	2.	Will you make audio-tapes, video-tapes, or photographs of subjects? Yes No Consent must be obtained from subjects in the informed consent form for these types of materials. Include statements about assurance of confidentiality, the planned use and eventual disposition of these materials (i.e., use of materials at conferences, published research, posting to the internet).
	3.	What electrical, electronic, or mechanical equipment will be used? If any have been specially constructed or modified for use in this study, provide a description with sufficient detail so that any physical danger may be assessed. Supplementary documents may be attached if necessary.

Federal guidelines require that *all* materials related to the research be retained for at least three years.

See current copy of *Code of Federal Regulatons* for details.