An introduction to the Jacobian conjecture

Damiano Fulghesu

Minnesota State University Moorhead

October 12, 2010

Damiano Fulghesu An introduction to the Jacobian conjecture

Hartshorne's Exercise 3.19 (b).

Hartshorne's Exercise 3.19 (b).

It is one of the 18 Smale's problems.

Hartshorne's Exercise 3.19 (b).

It is one of the 18 Smale's problems.

Still unproven?

Polynomial maps and their Jacobian

Base field \mathbb{C} .

Polynomial maps and their Jacobian

Base field \mathbb{C} .

Polynomial map

$$F = (F_1, \dots, F_n) : \mathbb{C}^n \quad \to \quad \mathbb{C}^n$$

$$\underline{z} = (z_1, \dots, z_n) \quad \mapsto \quad (F_1(\underline{z}), \dots, F_n(\underline{z}))$$

Polynomial maps and their Jacobian

Base field \mathbb{C} .

Polynomial map

$$F = (F_1, \dots, F_n) : \mathbb{C}^n \quad \to \quad \mathbb{C}^n$$
$$\underline{z} = (z_1, \dots, z_n) \quad \mapsto \quad (F_1(\underline{z}), \dots, F_n(\underline{z}))$$

Jacobian

$$J_{F}(\underline{z}) = \begin{pmatrix} \frac{\partial F_{1}}{\partial z_{1}}(\underline{z}) & \dots & \frac{\partial F_{1}}{\partial z_{n}}(\underline{z}) \\ \vdots & \ddots & \vdots \\ \frac{\partial F_{n}}{\partial z_{1}}(\underline{z}) & \dots & \frac{\partial F_{n}}{\partial z_{n}}(\underline{z}) \end{pmatrix}$$

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Proof: Let $G : \mathbb{C}^n \to \mathbb{C}^n$ be the inverse of F.

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Proof: Let $G : \mathbb{C}^n \to \mathbb{C}^n$ be the inverse of F. We must have that the compositions $F \circ G$ and $G \circ F$ are the identity maps.

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Proof: Let $G : \mathbb{C}^n \to \mathbb{C}^n$ be the inverse of F. We must have that the compositions $F \circ G$ and $G \circ F$ are the identity maps. By applying the **chain rule**, we get

$$J_{G\circ F}(\underline{z}) = J_G(F(\underline{z})) \cdot J_F(\underline{z}) = I_n$$

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Proof: Let $G : \mathbb{C}^n \to \mathbb{C}^n$ be the inverse of F. We must have that the compositions $F \circ G$ and $G \circ F$ are the identity maps. By applying the **chain rule**, we get

$$J_{G\circ F}(\underline{z}) = J_G(F(\underline{z})) \cdot J_F(\underline{z}) = I_n$$

In particular, for every \underline{z} , the determinant $|J_F(\underline{z})|$ must be different from 0.

Theorem

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is invertible (and its inverse is a polynomial map) then

 $|J_F(\underline{z})| \in \mathbb{C}^*$

(the determinant of $J_F(\underline{z})$ is a nonzero constant)

Proof: Let $G : \mathbb{C}^n \to \mathbb{C}^n$ be the inverse of F. We must have that the compositions $F \circ G$ and $G \circ F$ are the identity maps. By applying the **chain rule**, we get

$$J_{G\circ F}(\underline{z}) = J_G(F(\underline{z})) \cdot J_F(\underline{z}) = I_n$$

In particular, for every \underline{z} , the determinant $|J_F(\underline{z})|$ must be different from 0.

We conclude by observing that $J_F(\underline{z})$ is a **polynomial** and \mathbb{C} is algebraically closed.

The Jacobian conjecture

Conjecture

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map such that

 $|J_F(\underline{z})| \in \mathbb{C}^*$

then

F is invertible (and its inverse is a polynomial map).

Conjecture

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map such that

 $|J_F(\underline{z})| \in \mathbb{C}^*$

then

F is invertible (and its inverse is a polynomial map).

Still open for $n \ge 2!!!$

Conjecture

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map such that

 $|J_F(\underline{z})| \in \mathbb{C}^*$

then

F is invertible (and its inverse is a polynomial map).

Still open for $n \ge 2!!!$

More generally

Instead of \mathbb{C} , we can consider any algebraically closed field k with characteristic 0.

The map is a polynomial F(z), such that

$$\frac{\partial F}{\partial z}(z) = a \neq 0$$

The map is a polynomial F(z), such that

$$\frac{\partial F}{\partial z}(z) = a \neq 0$$

Therefore

The map is a polynomial F(z), such that

$$\frac{\partial F}{\partial z}(z) = a \neq 0$$

Therefore

• F(z) = az + b with $a \neq 0$

The map is a polynomial F(z), such that

$$\frac{\partial F}{\partial z}(z) = a \neq 0$$

Therefore

- F(z) = az + b with $a \neq 0$
- the inverse is $G(z) = \frac{z-b}{a}$

An example for n = 2

$$egin{array}{rcl} F: \mathbb{C}^2 & o & \mathbb{C}^2 \ (z_1, z_2) & \mapsto & (z_1 + (z_1 + z_2)^3, z_2 - (z_1 + z_2)^3) \end{array}$$

$$F: \mathbb{C}^2 \to \mathbb{C}^2 (z_1, z_2) \mapsto (z_1 + (z_1 + z_2)^3, z_2 - (z_1 + z_2)^3)$$

We have (Exercise):

$$F: \mathbb{C}^2 \to \mathbb{C}^2$$

 $(z_1, z_2) \mapsto (z_1 + (z_1 + z_2)^3, z_2 - (z_1 + z_2)^3)$

We have (Exercise):

• the determinant of $J_F(z_1, z_2)$ is 1

$$F: \mathbb{C}^2 \rightarrow \mathbb{C}^2$$

 $(z_1, z_2) \mapsto (z_1 + (z_1 + z_2)^3, z_2 - (z_1 + z_2)^3)$

We have (Exercise):

- the determinant of $J_F(z_1, z_2)$ is 1
- the inverse of F is

$$\begin{array}{rcl} G: \mathbb{C}^2 & \to & \mathbb{C}^2 \\ (z_1, z_2) & \mapsto & \left(z_1 - (z_1 + z_2)^3, z_2 + (z_1 + z_2)^3\right) \end{array}$$

Linear Case

$$\deg(F) = \max_i \deg(F_i)$$

 $\deg(F) = \max_i \deg(F_i)$

Up to replacing $F(\underline{z})$ with $F(\underline{z}) - F(0)$, we can assume

F(0) = 0

 $\deg(F) = \max_i \deg(F_i)$

Up to replacing $F(\underline{z})$ with $F(\underline{z}) - F(0)$, we can assume

F(0) = 0

and we consider the decomposition in homogenous components

$$F = F^{(1)} + \dots + F^{(d)}$$

 $\deg(F) = \max_i \deg(F_i)$

Up to replacing $F(\underline{z})$ with $F(\underline{z}) - F(0)$, we can assume

F(0) = 0

and we consider the decomposition in homogenous components

$$F = F^{(1)} + \dots + F^{(d)}$$

Theorem (Linear Algebra)

If deg(F) = 1 then the Jacobian conjecture is true.

Reduction to injectivity
Reduction to injectivity

A. Białynicki-Birula, M. Rosenlicht (1962)

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map. If F is injective, then F is surjective.

Reduction to injectivity

A. Białynicki-Birula, M. Rosenlicht (1962)

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map. If F is injective, then F is surjective.

S. Cynk, K. Rusek (1991)

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is a bijective polynomial map, then the inverse of F is a polynomial map.

Reduction to injectivity

A. Białynicki-Birula, M. Rosenlicht (1962)

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map. If F is injective, then F is surjective.

S. Cynk, K. Rusek (1991)

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is a bijective polynomial map, then the inverse of F is a polynomial map.

therefore the Jacobian conjecture reduces to prove that the polynomial map is injective

A. Białynicki-Birula, M. Rosenlicht (1962)

Let $F : \mathbb{C}^n \to \mathbb{C}^n$ be a polynomial map. If F is injective, then F is surjective.

S. Cynk, K. Rusek (1991)

If $F : \mathbb{C}^n \to \mathbb{C}^n$ is a bijective polynomial map, then the inverse of F is a polynomial map.

therefore the Jacobian conjecture reduces to prove that the polynomial map is injective

More generally

Cynk and Rusek proved that if V is an affine algebraic set over an algebraically closed field k of characteristic 0 and $F: V \to V$ is an injective endomorphism, then F is an automorphism.

First proved by S. Wang in 1980

First proved by S. Wang in 1980

• Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

Replace $F(\underline{z})$ with $F(\underline{z} + \underline{a}) - F(\underline{a})$ and consider $\underline{c} = \underline{b} - \underline{a}$, we may assume

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

Replace $F(\underline{z})$ with $F(\underline{z} + \underline{a}) - F(\underline{a})$ and consider $\underline{c} = \underline{b} - \underline{a}$, we may assume

• F(0) = 0

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

Replace $F(\underline{z})$ with $F(\underline{z} + \underline{a}) - F(\underline{a})$ and consider $\underline{c} = \underline{b} - \underline{a}$, we may assume

•
$$F(0) = 0$$

•
$$F(\underline{c}) = 0$$
 for some $\underline{c} \neq 0$

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

Replace $F(\underline{z})$ with $F(\underline{z} + \underline{a}) - F(\underline{a})$ and consider $\underline{c} = \underline{b} - \underline{a}$, we may assume

Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z})$$

First proved by S. Wang in 1980

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- **2** Suppose that $F(\underline{a}) = F(\underline{b})$ for some $\underline{a} \neq \underline{b}$

Replace $F(\underline{z})$ with $F(\underline{z} + \underline{a}) - F(\underline{a})$ and consider $\underline{c} = \underline{b} - \underline{a}$, we may assume

Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z})$$

We have, for all $t \in \mathbb{C}$,

$$F(t\underline{c}) = tF^{(1)}(\underline{c}) + t^2F^{(2)}(\underline{c})$$

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- Suppose that $F(\underline{c}) = F(0) = 0$ for some $\underline{c} \neq 0$

We differentiate and we get, for all $t \in \mathbb{C}$,

$$\frac{\partial}{\partial t}F(t\underline{c}) = F^{(1)}(\underline{c}) + 2tF^{(2)}(\underline{c}) = J_F(t\underline{c}) \cdot \underline{c} \neq 0$$

- Let us suppose that deg $F \leq 2$ and $|J_F(\underline{z})| \in \mathbb{C}^*$
- Suppose that $F(\underline{c}) = F(0) = 0$ for some $\underline{c} \neq 0$

We differentiate and we get, for all
$$t \in \mathbb{C}$$
,

$$\frac{\partial}{\partial t}F(t\underline{c}) = F^{(1)}(\underline{c}) + 2tF^{(2)}(\underline{c}) = J_F(t\underline{c}) \cdot \underline{c} \neq 0$$

in particular, when $t = \frac{1}{2}$,

$$F(\underline{c}) = F^{(1)}(\underline{c}) + F^{(2)}(\underline{c}) = J_F\left(\frac{1}{2}\underline{c}\right) \cdot \underline{c} \neq 0$$

contradiction.

Consider

$$\begin{array}{rccc} F:\mathbb{C} & \to & \mathbb{C} \\ & z & \mapsto & e^z \end{array}$$

Consider

$$\begin{array}{rccc} F:\mathbb{C} & \to & \mathbb{C} \\ & z & \mapsto & e^z \end{array}$$

We have

•
$$J_F(z) = e^z \neq 0$$

Consider

$$\begin{array}{rccc} F:\mathbb{C} & \to & \mathbb{C} \\ & z & \mapsto & e^z \end{array}$$

We have

•
$$J_F(z) = e^z \neq 0$$

 F is not injective, because, over C, the function e^z is periodic with period 2πi.

Counter-example with real numbers

Counter-example with real numbers

Consider

$$\begin{array}{rccc} f:\mathbb{R} & \to & \mathbb{R} \\ & x & \mapsto & x^3 + x \end{array}$$

Consider

$$f: \mathbb{R} \to \mathbb{R}$$
$$x \mapsto x^3 + x$$

We have

• $J_f(x) = f'(x) = 3x^2 + 1 \neq 0$ for all x, since we are on $\mathbb R$

Consider

$$f: \mathbb{R} \to \mathbb{R}$$
$$x \mapsto x^3 + x$$

We have

- $J_f(x) = f'(x) = 3x^2 + 1 \neq 0$ for all x, since we are on $\mathbb R$
- f(x) is bijective

Consider

$$f: \mathbb{R} \to \mathbb{R}$$
$$x \mapsto x^3 + x$$

We have

- $J_f(x) = f'(x) = 3x^2 + 1 \neq 0$ for all x, since we are on $\mathbb R$
- f(x) is bijective
- the inverse of f(x) cannot be a polynomial (exercise)

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \cdots + F^{(d)}(\underline{z})$$

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

Proof Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \cdots + F^{(d)}(\underline{z})$$

Proof Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

We have

$$J_{\mathcal{F}}(\underline{z}) = J_{\mathcal{F}^{(1)}} + J_{\mathcal{F}^{(2)}}(\underline{z}) + \cdots + J_{\mathcal{F}^{(d)}}(\underline{z})$$

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \cdots + F^{(d)}(\underline{z})$$

Proof Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

We have

$$J_{F}(\underline{z}) = J_{F^{(1)}} + J_{F^{(2)}}(\underline{z}) + \dots + J_{F^{(d)}}(\underline{z})$$

In particular

$$J_F(0)=J_{F^{(1)}}$$

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \cdots + F^{(d)}(\underline{z})$$

Proof Let us write

$$F(\underline{z}) = F^{(1)}(\underline{z}) + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

We have

$$J_{\mathcal{F}}(\underline{z}) = J_{\mathcal{F}^{(1)}} + J_{\mathcal{F}^{(2)}}(\underline{z}) + \dots + J_{\mathcal{F}^{(d)}}(\underline{z})$$

In particular

$$J_F(0) = J_{F^{(1)}}$$

and since the determinant of the Jacobian is supposed to be a constant

$$|J_F(\underline{z})| = |J_{F^{(1)}}|$$

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \cdots + F^{(d)}(\underline{z})$$

In particular we have that the matrix $J_{F^{(1)}}$ is invertible

Fact

We can reduce to the case

$$F(\underline{z}) = I_n \cdot \underline{z} + F^{(2)}(\underline{z}) + \dots + F^{(d)}(\underline{z})$$

In particular we have that the matrix $J_{F^{(1)}}$ is invertible

After a linear change of coordinates we can assume

$$J_{F^{(1)}} = I_n$$

Reduction of degree

A. Yagzhev (1980); H. Bass, E. Connell, D. Wright (1982)

If the Jacobian conjecture holds for all $n \geq 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F = I_n + F^{(3)}$$

then the Jacobian conjecture holds.

A. Yagzhev (1980); H. Bass, E. Connell, D. Wright (1982)

If the Jacobian conjecture holds for all $n \geq 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F = I_n + F^{(3)}$$

then the Jacobian conjecture holds.

Warning

This **does not mean**, for example, that if we prove the conjecture for $F = I_n + F^{(3)}$ in the case n = 2, then we have proved the conjecture for the case n = 2.

A. Yagzhev (1980); H. Bass, E. Connell, D. Wright (1982)

If the Jacobian conjecture holds for all $n \geq 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F = I_n + F^{(3)}$$

then the Jacobian conjecture holds.

Warning

This **does not mean**, for example, that if we prove the conjecture for $F = I_n + F^{(3)}$ in the case n = 2, then we have proved the conjecture for the case n = 2.

M. de Bondt, A. van den Essen (2005)

If the Jacobian conjecture holds for all $F = I_n + F^{(3)}$ such that J_F is symmetric, then the Jacobian conjecture holds.

Reduction of degree
Reduction of degree

L.M. Drużkowski (1983)

If the Jacobian conjecture holds for all $n \ge 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F(\underline{z}) = I_n \cdot \underline{z} + \left(\left(\sum_{k=1}^n a_{k,1} z_k \right)^3, \dots, \left(\sum_{k=1}^n a_{k,n} z_k \right)^3 \right)$$

then the Jacobian conjecture holds.

Reduction of degree

L.M. Drużkowski (1983)

If the Jacobian conjecture holds for all $n \ge 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F(\underline{z}) = I_n \cdot \underline{z} + \left(\left(\sum_{k=1}^n a_{k,1} z_k \right)^3, \dots, \left(\sum_{k=1}^n a_{k,n} z_k \right)^3 \right)$$

then the Jacobian conjecture holds.

E. Hubbers (1994)

The Jacobian conjecture holds for all F of the Drużkowski form if $n \leq 7$.

Reduction of degree

L.M. Drużkowski (1983)

If the Jacobian conjecture holds for all $n \geq 2$ and all $F : \mathbb{C}^n \to \mathbb{C}^n$ of the form

$$F(\underline{z}) = I_n \cdot \underline{z} + \left(\left(\sum_{k=1}^n a_{k,1} z_k \right)^3, \dots, \left(\sum_{k=1}^n a_{k,n} z_k \right)^3 \right)$$

then the Jacobian conjecture holds.

E. Hubbers (1994)

The Jacobian conjecture holds for all F of the Drużkowski form if $n \leq 7$.

M. de Bondt, A. van den Essen (2005)

The Jacobian conjecture holds for all F of the Drużkowski form such that J_F is symmetric.

Other results

• D. Wright (1993) Jacobian conjecture holds for n = 3 and $F = I_n + F^{(3)}$

- D. Wright (1993) Jacobian conjecture holds for n = 3 and $F = I_n + F^{(3)}$
- E. Hubbers (1994) Jacobian conjecture holds for n = 4 and $F = I_n + F^{(3)}$

Other results

- D. Wright (1993) Jacobian conjecture holds for n = 3 and $F = I_n + F^{(3)}$
- E. Hubbers (1994) Jacobian conjecture holds for n = 4 and $F = I_n + F^{(3)}$
- T. Moh (1983) Jacobian conjecture holds for n=2 and $d\leq 100$

- D. Wright (1993) Jacobian conjecture holds for n = 3 and $F = I_n + F^{(3)}$
- E. Hubbers (1994) Jacobian conjecture holds for n = 4 and $F = I_n + F^{(3)}$
- T. Moh (1983) Jacobian conjecture holds for n=2 and $d\leq 100$
- L. Wang (2005) found more exceptional cases than Moh and confirmed the theorem

- D. Wright (1993) Jacobian conjecture holds for n = 3 and $F = I_n + F^{(3)}$
- E. Hubbers (1994) Jacobian conjecture holds for n = 4 and $F = I_n + F^{(3)}$
- T. Moh (1983) Jacobian conjecture holds for n=2 and $d\leq 100$
- L. Wang (2005) found more exceptional cases than Moh and confirmed the theorem
- M. Razar (1979) Jacobian conjecture holds for n = 2 if the all the fibers of F_1 or F_2 are irreducible rational curves

Thank you!